ASEA Ingestion, Safety Summary from Human Studies North Carolina Research Campus, Human Performance Laboratory Director: David C. Nieman, DrPH, FACSM; Professor of Health Sciences, Appalachian State University

INTRODUCTION

Several randomized, placebo-controlled, double blind studies with human subjects have been conducted at the North Carolina Research Campus (NCRC). No adverse symptoms have been reported by subjects ingesting 4 fl. oz. ASEA per day for two to 12 weeks.

This report will focus on data collected on 106 overweight women who ingested 4 fl. oz. ASEA per day for 12 weeks. No adverse symptoms or negative changes in diagnostic chemistries were measured. Four stool samples were also collected from 24 athletes, and the data indicate no effect of 2-weeks ASEA ingestion on microbiome diversity.

HUMAN COMMUNITY TRIAL

This randomized, placebo-controlled study showed that ASEA ingestion by overweight women (4 fl. oz./day) for 12 weeks was safe and not associated with adverse symptoms. All study procedures were conducted under the auspices of the Appalachian State University Institutional Review Board (IRB) for Human Studies. The placebo beverage contained the pure saline solution as found in ASEA, but without catalytic processing.

A total of 106 overweight women (ages 20 to 73 years) ingested 4 fl. oz. of ASEA or placebo (randomized groups) each day for 12 weeks under double blind conditions. Subject characteristics are summarized in Table 1. The data indicate a high degree of obesity (72%) and disease risk factors.

| Table 1 Subject characteristic | s for entire | group (| N=106) | |
|--------------------------------|--------------|---------|------------|-------------|
| Variable | Mean | SD | Categories | Percentiles |
| Age (yrs) (20-73) | 50 | 12.1 | <50 | 40% |
| | | | ≥50 | 60% |
| BMI (kg/m²) | 33.9 | 6.1 | <30 | 28% |
| | | | 30-39.9 | 56% |
| | | | ≥40 | 16% |
| Systolic BP (mm Hg) | 125 | 16.2 | <120 | 34% |
| | | | 120-139 | 54% |
| | | | ≥140 | 12% |
| Cholesterol (mg/dL) | 201 | 39.8 | <200 | 52% |
| | | | 200-239 | 33% |
| | | | ≥240 | 15% |
| C-Reactive Protein (mg/L) | 6.42 | 7.08 | <1.0 | 12% |
| | | | 1-2.9 | 29% |
| | | | ≥3.0 | 59% |
| Glucose (mg/dL) | 96.4 | 19.3 | <100 | 74% |
| | | | 100-125 | 22% |
| | | | ≥126 | 4% |

Subjects ingested two 2 fl. oz. doses each day, with one dose in the morning and the other in the evening. Subjects completed 4-week retrospective symptom logs pre-study, and then at 4, 8, and 12 weeks. (See the symptom log in Appendix A). Table 2 indicates that no significant group differences over time were measured.

| Table 2 Symptom Log Data | ASEA | | | | PLACEBO | | | | Interaction |
|--------------------------|------|------|------|------|---------|------|------|------|-------------|
| | Pre | 1-mo | 1-mo | 3-mo | Pre | 1-mo | 1-mo | 3-mo | P-value |
| Constipation | 1.8 | 1.5 | 1.5 | 1.4 | 1.7 | 1.8 | 1.9 | 1.9 | 0.276 |
| Heartburn | 2.3 | 1.6 | 1.5 | 1.6 | 1.6 | 1.4 | 1.5 | 1.9 | 0.076 |
| Bloating | 2.1 | 1.7 | 1.5 | 1.6 | 1.8 | 1.5 | 1.8 | 1.9 | 0.247 |
| Diarrhea | 1.4 | 1.5 | 1.3 | 1.3 | 1.4 | 1.3 | 1.5 | 1.3 | 0.575 |
| Nausea | 1.1 | 1.2 | 1.1 | 1.2 | 1.3 | 1.2 | 1.3 | 1.1 | 0.261 |
| | | | | | | | | | |
| Hunger, Morning | 4.4 | 3.2 | 3.6 | 3.9 | 5.0 | 3.4 | 4.1 | 3.8 | 0.465 |
| Hunger, Afternoon | 5.1 | 3.6 | 4.2 | 4.2 | 5.1 | 3.5 | 4.6 | 4.2 | 0.654 |
| Hunger, Evening | 5.4 | 3.7 | 4.4 | 4.5 | 5.8 | 4.0 | 5.2 | 4.5 | 0.534 |
| | | | | | | | | | |
| Energy, Morning | 6.2 | 5.3 | 5.5 | 5.8 | 6.1 | 5.2 | 5.8 | 5.7 | 0.714 |
| Energy, Afternoon | 6.1 | 5.4 | 5.4 | 5.7 | 6.1 | 5.3 | 5.9 | 5.6 | 0.394 |
| Energy, Evening | 5.5 | 5.2 | 5.0 | 5.3 | 5.4 | 5.0 | 5.4 | 5.3 | 0.579 |
| | | | | | | | | | |
| Fever | 1.1 | 1.3 | 1.1 | 1.0 | 1.0 | 1.1 | 1.2 | 1.3 | 0.082 |
| Cough | 1.8 | 1.9 | 1.6 | 1.3 | 1.9 | 2.2 | 1.9 | 1.8 | 0.738 |
| Throat | 1.3 | 1.7 | 1.3 | 1.2 | 1.1 | 1.8 | 1.8 | 1.5 | 0.194 |
| Stuffy | 2.0 | 2.1 | 1.7 | 1.6 | 2.1 | 1.9 | 2.2 | 2.0 | 0.545 |
| Runny | 2.1 | 2.6 | 2.1 | 1.8 | 2.2 | 2.0 | 2.3 | 2.0 | 0.233 |
| Headache | 1.9 | 1.8 | 1.7 | 1.4 | 2.3 | 2.2 | 1.9 | 2.0 | 0.649 |
| | | | | | | | | | |
| Joint | 2.8 | 2.4 | 2.2 | 3.0 | 3.1 | 1.9 | 2.4 | 3.0 | 0.106 |
| Muscle | 2.3 | 2.1 | 1.8 | 2.1 | 2.3 | 1.4 | 1.7 | 2.1 | 0.069 |
| Back | 3.0 | 2.5 | 1.9 | 2.6 | 2.5 | 1.5 | 1.7 | 1.8 | 0.101 |
| | | | | | • | | • • | 2.2 | 0.700 |
| Allergy | 2.5 | 2.0 | 2.5 | 3.2 | 2.8 | 2.0 | 2.9 | 3.2 | 0.798 |
| Stress | 4.5 | 3.9 | 3.9 | 4.3 | 4.8 | 4.1 | 4.9 | 4.7 | 0.223 |
| Focus | 7.3 | 5.8 | 6.4 | 6.4 | 7.6 | 5.3 | 7.0 | 6.6 | 0.310 |
| Overall | 8.6 | 6.8 | 7.6 | 8.0 | 8.3 | 7.1 | 8.0 | 7.3 | 0.190 |

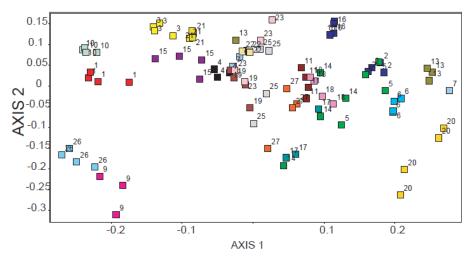
Blood samples were collected pre-study and then monthly during the study, and analyzed for comprehensive diagnostic chemistries at the Carolina Medical Center Clinical Laboratory (Charlotte, North Carolina). ASEA compared to placebo ingestion over 12 weeks was not associated with changes in liver and kidney function in these subjects (Table 3). A slight decrease in bilirubin was measured in the ASEA group, but values were still in the normal range (0.3 to 1.9 mg/dL). Complete blood counts (CBC) were measured pre- and post-study, and showed no group differences over time for hemoglobin, hematocrit, and red blood cell counts.

| Table 3 Diagnostic Chemistries and CBC | ASEA | | | | Placebo | | | | Interaction |
|---|-----------|-------|-------|-------|-----------|-------|-------|-------|-------------|
| SERUM | Pre-Study | 1-mo | 2-mo | 3-mo | Pre-Study | 1-mo | 2-mo | 3-mo | P-Value |
| Sodium (mEq/L) | 139 | 139 | 139 | 138 | 139 | 139 | 139 | 139 | 0.79 |
| Blood Urea Nitrogen (mg/dL) | 11.00 | 11.30 | 11.10 | 11.20 | 11.40 | 12.00 | 11.60 | 11.70 | 0.911 |
| Creatinine (mg/dL) | 0.80 | 0.78 | 0.79 | 0.77 | 0.77 | 0.78 | 0.79 | 0.76 | 0.418 |
| Albumin (g/dL) | 4.00 | 3.87 | 3.85 | 3.86 | 4.01 | 3.92 | 3.89 | 3.92 | 0.613 |
| Bilirubin (mg/dL) | 0.62 | 0.58 | 0.46 | 0.52 | 0.57 | 0.56 | 0.51 | 0.58 | 0.043 |
| Alkaline Phosphatase (IU/L) | 72.30 | 71.60 | 73.70 | 70.50 | 68.00 | 66.80 | 67.00 | 66.70 | 0.276 |
| Aspartate Aminotransferase (U/L) (SGOT) | 20.90 | 21.00 | 22.20 | 22.10 | 21.60 | 21.40 | 22.00 | 23.80 | 0.328 |
| Alanine Aminotransferase (U/L) (SGPT) | 20.70 | 22.10 | 22.50 | 20.30 | 20.50 | 20.60 | 21.60 | 20.90 | 0.16 |
| Calcium (mg/dL) | 9.38 | 9.27 | 9.22 | 9.16 | 9.34 | 9.32 | 9.27 | 9.21 | 0.212 |
| Hemoglobin (g/L) | 14.00 | | | 13.90 | 13.90 | | | 13.60 | 0.591 |
| Hematocrit (%) | 42.00 | | | 41.90 | 41.60 | | | 41.10 | 0.497 |
| Red Blood Cells (10 ⁶ /L) | 4.79 | | | 4.81 | 4.67 | | | 4.62 | 0.389 |

STOOL MICROBIAL COMMUNITY COMPOSITION

Twenty-four endurance runners consumed 4 fl. oz./day ASEA or placebo (randomized, crossover) for two weeks prior to running on treadmills to exhaustion (with intensity clamped at 70% VO_{2max}). Runners provided four stool samples (before and after 2-weeks supplementation with ASEA or placebo). Fecal sample analysis was coordinated under subcontract with Dr. Anthony Fodor of UNC-Charlotte. Microbial DNA was isolated, PCR was performed targeting the 16S rRNA gene, and the microbial community was analyzed with high-throughput Illumina sequencing. Statistical analysis revealed no effect of ASEA ingestion on the microbial community composition (see Figure 1).

Figure 1 Stool sample analysis for microbial community composition. Data indicate a tight cluster of the 4 stool samples for each subject (2 stool samples taken pre- and post-ASEA and placebo ingestion).



SUMMARY: Monthly symptom log and blood diagnostic chemistries data obtained from a randomized, placebocontrolled 12-week study conducted under double blind methods with 106 overweight/obese adult females indicated that ASEA ingestion (4 fl. oz. per day, split dose) was safe and no different from placebo. Stool data collected from 24 endurance athletes participating in a randomized, placebo-controlled, crossover trial, showed no effect of 2-weeks ASEA ingestion (4 fl. oz. per day) on microbial diversity or the microbial community composition. These data combined with three other human trials conducted at the ASU-NCRC Human Performance Laboratory indicate that ASEA ingestion is safe with no negative outcomes relative to placebo.

| ID Number | | | | DATE | | | | | | | |
|------------------|---|---|---|---------------------------|--|--|--|--|--|--|--|
| WEEK | 0 | 4 | 8 | 12 (circle week of study) | | | | | | | |
| ASEA Symptom LOG | | | | | | | | | | | |

Please place an "X" in the box that best fits the symptoms or feelings you experienced (from 1 to 12 for each) <u>DURING THE PAST 4 WEEKS</u>. One is none at all, 3=low, 6=moderate, 9=high, and 12=very high levels of the symptom or feeling.

INTENSITY OF SYMPTOMS/FEELINGS

| | | | | | | | | | | | | 7 | Very |
|------------------------|--------------|------|---|----|---|----|------|-----|---|------|----|----|------|
| | N | lone | Ι | юw | | Mo | dera | ate | H | ligh | | 10 | High |
| CATEGORY | SYMPTOM | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| A. Digestive health | Constipation | | | | | | | | | | | | |
| | Heartburn | | | | | | | | | | | | |
| | Bloating | | | | | | | | | | | | |
| | Diarrhea | | | | | | | | | | | | |
| | Nausea | | | | | | | | | | | | |
| B. Hunger | Morning | | | | | | | | | | | | |
| | Afternoon | | | | | | | | | | | | |
| | Evening | | | | | | | | | | | | |
| C. Energy Level | Morning | | | | | | | | | | | | |
| | Afternoon | | | | | | | | | | | | |
| | Evening | | | | | | | | | | | | |
| D. Symptoms | Fever | | | | | | | | | | | | |
| | Cough | | | | | | | | | | | | |
| | Sore throat | | | | | | | | | | | | |
| | Stuffy nose | | | | | | | | | | | | |
| | Runny nose | | | | | | | | | | | | |
| | Headache | | | | | | | | | | | | |
| E. Pain | Joint pain | | | | | | | | | | | | |
| | Muscle pain | | | | | | | | | | | | |
| | Back pain | | | | | | | | | | | | |
| F. Allergies | | | | | | | | | | | | | |
| G. Stress level | | | | | | | | | | | | | |
| H. Focus/Concentration | | | | | | | | | | | | | |
| I. Overall well-being | | | | | | | | | | | | | |
| 1. O ter an wen-being | | | | | | | | | | | | | |

ANSWER AT WEEKS 4, 8, and 12: 1. Did you consume all of the beverage given you during the past 4 weeks (or if you missed one or two days, then caught up by consuming double the amount? Yes; __No If "no", please explain: ANSWER AT END OF STUDY: What type of supplement do you think you consumed during the past 12 weeks: Placebo; __ ASEA; __ Do not know